#### This Page Is Inserted by IFW Operations and is not a part of the Official Record

#### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representation of The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

#### IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

#### PCT

## WORLD INTELLECTUAL PROPERTY ORGANIZATION FOR INCOME.



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Chardification 6:	D L	(11) International Publication Number:	WO 96/11953
C07K 14/53, 14/555, 1/107, A61K 47/48	7	(43) International Publication Date:	25 April 1996 (25.04.96)
(21) International Application Number: PCT/U	92710/5651	(81) Designated States: AM, AT, AU, I	BB, BG, BR, BY, CA, CH, GB, GE, HU, JP, KB, KG,
(22) International Filing Date: 8 February 1995 (06.02.95)	(08.02.95)	79 1995 (08.02.95) KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MY, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TI,	LV, MD, MG, MN, MW.
(30) Priority Data: 08/321,510 12 October 1994 (12.10.94)	Sn G	TT, UA, UZ, VN, European paent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, fT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CJ, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ).	Eni (AT, BE, CH, DE, DK, MC, NL, PT, SE), OAP! M, GA, GN, ML, MR, NE, IE, MW, SD, SZ).

(71) Applicant: AMGEN INC. [US/US]; Angen Cener, 1840 Dehavilland Drive, Thousand Oats, CA 91320-1789 (US).

With International search report.

Published

(72) Inventors: KINSTLER, Olaf, B.; Unit A. 533 North Oakure.
Thousand Oaks, CA 91360 (US). GABRIEL. Nancy, E.;
3301 Bear Greek Court, Newbury Park, CA 91320 (US).
FARRAR, Caristine, E.; 667 Vality Oak Lanc, Newbury
Park, CA 91320 (US). DEPRINCE. Randolph, B.; 129
Hartland Court, Raleigh, NC 27614 (US).

(74) Agents: ODRE, Steven, M. et al.; Amgen Inc., Amgen Center, 1840 Dehavilland Drive, Thousand Oaks, CA 91320-1789 (US).

(5) TILE: N-TERMINALLY CHEMICALLY MODIFIED PROTEIN COMPOSITIONS AND METHODS

(57) Abstract

Provided herein are nechech and compositions relating to the attachment of water soluble polymen to proteins. Provided are novel methods for N-terminally modifying proteins or analogs thereof, and resultant compositions, including novel N-terminally chemically modified O-CSF compositions and related methods of preparation. Also provided is chemically modified consersus interferon.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international policialions under the PCT.

	Austria	83	United Kingdom		Mauritania
	Australia	50	Georgia		Malawi
	Berbedos	3	Guinea		N, ga
	Belgium	5	Greace	¥	Netherlands
	Burkins Peac	2	Hungary		Nonesy
	Bulgaria	Ľ	Ireland		New Zealand
	Bonis	E	lady.		Poland
	Bruil	=	Inper		Portugal
	Belans	K	Kenya		Romania
	Canada	KG	Kyrgysten		Russian Federation
	Central African Republic	ž	Democratic Poople's Republic		Sudan
	Congo		of Kores		Sweden
	Switzerland	5	Republic of Korea		Stovenia
	Che d'Ivoire	KZ	Kazakhutan		Slovekie
	Cameroca	3	Liechcastein		Senegal
	China	Ĭ	Sri Lanks		2
	Centhos lovals la	3	Lucembourg		Togo
8	Coach Republic	2	Levis		Tejikleren
	Ocranary	M	Monaco		Trinidad and Tobago
	Dennat	æ	Republic of Moldova		Chrashe
	Spain	MG	Madagascar		United States of America
	Pinland	¥	Mai	20	Uzbekisten
	Been	***			

-

### N-TERMINALLY CHEMICALLY MODIFIED PROTRIN COMPOSITIONS AND METHODS

## Field of the Invention

proteins or analogs thereof, and resultant compositions. the attachment of water soluble polymers to proteins or The present invention broadly relates to the field of protein modification, and, more specifically, present invention also relates to chemically modified analogs thereof (the term "protein" as used herein is relates to novel methods for N-terminally modifying In another aspect, the present invention relates to synonymous with "polypeptide" or "peptide" unless otherwise indicated). The present invention also compositions and related methods of preparation. novel N-terminally chemically modified G-CSF 15 2

#### Background

20

consensus interferon.

Focus on Growth Factors 2: 4-10 (May 1992) (published by chemical modification. One goal of such modification is protein protection. Chemical attachment may effectively decreasing immunogenicity. A review article describing Mediscript, Mountview Court, Friern Barnet Lane, London technologies. The availability of recombinant proteins largely as a result of the advances in recombinant DNA block a proteolytic enzyme from physical contact with Proteins for therapeutic use are currently protein modification and fusion proteins is Francis, certain circumstances, increasing the stability and available in suitable forms in adequate quantities has engendered advances in protein formulation and degradation. Additional advantages include, under circulation time of the therapeutic protein and the protein backbone itself, and thus prevent N20, OLD, UK). 35 3

25

WO 96/11953

PCT/US95/01729

- 2 -

immunodeficiency disease; pegylated superoxide dismutase chemical molety which has been used in the preparation pegylated alpha interferon has been tested in phase I has been in clinical trials for treating head injury; example Adagen, a pegylated formulation of adenosine of therapeutic protein products (the verb "pegylate" deaminase is approved for treating severe combined meaning to attach at least one PEG molecule). For Polyethylene glycol ("PEG") is one such

S

- glucocerebrosidase and pegylated hemoglobin are reported to have been in preclinical testing. The attachment of polyethylene glycol has been shown to protect against clinical trials for treating hepatitis; pegylated proteolysis, Sada, et al., J. Fermentation 10
  - available. See U.S. Patent No. 4,179,337, Davis et al., attachment of certain polyethylene glycol moieties are 1979; and U.S. Patent No. 4,002,531, Royer, "Modifying "Non-Immunogenic Polypeptides," issued December 18, Bioengineering 21: 137-139 (1991), and methods for 15
    - enzymes with Polyethylene Glycol and Product Produced Thereby," issued January 11, 1977. For a review, see Holcerberg and J. Roberts, eds. pp. 367-383 (1981)). Abuchowski et al., in Enzymes as Drugs. (J.S. 20
- copolymer, polyaminoacids (either homopolymers or random such as copolymers of ethylene glycol/propylene glycol, Other water soluble polymers have been used, carboxymethylcellulose, dextran, polyvinyl alcohol, poly-1, 3, 6-trioxane, ethylene/maleic anhydride polyvinyl pyrrolidone, poly-1, 3-dioxolane, copolymers). 25 3

For polyethylene glycol, a variety of means glycol molecules are connected to the protein via a molecules to the protein. Generally, polyethylene have been used to attach the polyethylene glycol

reactive group found on the protein. Amino groups, such 35

as those on lysine residues or at the N-terminus, are convenient for such attachment. For example, Royer (U.S. Pat. No. 4,002,531, above) states that reductive alkylation was used for attachment of polyethylene

S

glycol molecules to an enzyme. EP 0 539 167, published April 28, 1993, Wright, "Peg Imidates and Protein Derivates Thereof" states that peptides and organic compounds with free amino group(s) are modified with an immediate derivative of PEG or related water-soluble organic polymers. U.S. Patent No. 4,904,584, Shaw, issued February 27, 1990, relates to the modification of the number of lysine residues in proteins for the attachment of polyethylene glycol molecules via reactive

20

Doe specific therapeutic protein which has been chemically modified is granulocyte colony stimulating factor, "G-CSF." G-CSF induces the rapid proliferation and release of neutrophilic granulocytes to the blood stream, and thereby provides therapeutic effect in fighting infection.

amine groups.

European patent publication EP 0 401 384, published December 12, 1990, entitled, "Chemically Modified Granulocyte Colony Stimulating Factor," describes materials and methods for preparing G-CSF to

which polyethylene glycol molecules are attached.

Modified G-CSF and analogs thereof are also reported in EP 0 473 268, published March 4, 1992, entitled "Continuous Release Pharmaceutical Compositions

25

Comprising a Polypeptide Covalently Conjugated To A Mater Soluble Polymer," stating the use of various G-CSF and derivatives covalently conjugated to a water soluble particle polymer, such as polyethylene glycol.

A modified polypeptide having human granulocyte colony stimulating factor activity is reported in EP 0 335 423 published October 4, 1989.

WO 96/11953

PCT/US95/01729

1 4 1

Another example is pegylated IL-6, EP 0 442 724, entitled, "Modified hIL-6," (SEE co-pending U.S.S.N. 07/632,070) which discloses polyethylene glycol molecules added to IL-6.

EP 0 154 316, published September 11, 1985 reports reacting a lymphokine with an aldehyde of polyethylene glycol.

S

polyethylene glycol. Many methods of attaching a polymer to a

- protein involve using a molety to act as a linking 10 group. Such moleties may, however, be antigenic. A tresyl chloride method involving no linking group is available, but this method may be difficult to use to produce therapeutic products as the use of tresyl chloride may produce toxic by-products. See Francis et
- 15 al., In: Stability of protein pharmaceuticals: in vivo pathways of degradation and strategies for protein stabilization (Eds. Ahern., T. and Manning, M.C.) Plenum, New York, 1991) Also, Delgado et al., "Coupling of PEG to Protein By Activation With Tresyl Chloride,
- Applications In Immunoaffinity Cell Preparation", In:
  Fisher et al., eds., Separations Using Aqueous Phase
  Systems, Applications In Cell Biology and Biotechnology,
  Plenum Press, N.Y.N.Y.,1989 pp. 211-213.
- Chamow et al., Bloconjugate Chem. 5: 133-140
  25 (1994) report the modification of CD4 immunoadhesin with monomethoxlypoly(ethylene glycol) aldehyde via reductive alkylation. The authors report that 50% of the CD4-Ig was MePEG-modified under conditions allowing the control over the extent of pegylation. Id. at page 137. The authors also report that the in vitro binding capability
  - over the extent of pegylation. Id. at page 137. The authors also report that the in vitro binding capability of the modified CD4-1g (to the protein gp 120) decreased at a rate correlated to the extent of MePEGylation.

    Ibid. See also, Rose et al., Bloconjugate Chemistry 2: 154-159 (1991) which reports the selective attachment of

the linker group carbohydrazide to the C-terminal carboxyl group of a protein substrate (insulin).

however. Rather, the currently existing methods provide None of the methods in the general state of polymer to the N-terminus of a protein such as G-CSF, whether located within the protein, such as a lysine the art, or the art relating to particular proteins, for non-selective attachment at any reactive group, allow for selective attachment of a water soluble

2

G-CSF molecules, some molecules have a different number heterogenous population. For example, for pegylated side group, or at the N-terminus. This results in a of polyethylene glycol moieties than others. As an illustration, protein molecules with five lysine 10

be attached at the same location on different molecules. glycol moieties, some five, some four, some three, some two, some one and some zero. And, among the molecules with several, the polyethylene glycol moleties may not residues reacted in the above methods may result in a heterogenous mixture, some having six polyethylene 20 15

development, predictability of biological activity is crucial. For example, it has been shown that in the This is disadvantageous when developing a therapeutic pegylated protein product. In such

case of nonselective conjugation of superoxide dismutase al. Chem. Pharm. Bull. 35:3079-3091 (1988)). One cannot modified enzyme were completely inactive (P.McGoff et with polyethylene glycol, several fractions of the 25

in some locations as others, and this may result in such polyethylene glycol moieties may not be bound as stably differs in composition from lot to lot. Some of the have such predictability if the therapeutic protein course, if such moleties are randomly attached and moleties becoming dissociated with the protein. therefore become randomly dissociated, the 32 3

WO 96/11953

- 9 -

PCT/US95/01729

precisely predictable. From a consumer's point of view, the circulation time may vary from lot to lot, and thus pharmacokinetics of the therapeutic protein cannot be dosing may be inaccurate. From a producer's point of

- linking moiety (between the protein and the polymer). selective N-terminal chemical modification without a Additionally, none of the above methods provide for view, garnering regulatory approval for sale of the therapeutic protein may have added complexities. 'n
- Thus, there exists a need for methods allowing If a linking molety is used, there may be disadvantages due to possible antigenicity. ្ព

consensus interferon (two chemically modified proteins exemplified below). The present invention addresses proteins and analogs thereof, including G-CSF and for selectively N-terminally chemically modified this need in a number of aspects.

15

## Summary of the Invention

The present invention relates to substantially demonstrated advantages in stability which are not seen modified proteins, and methods therefor. Unexpectedly, homogenous preparations of N-terminally chemically chemical modification at the N-terminus of G-CSF 20

- Also N-terminally chemically modified G-CSF, it was found that using reductive alkylation, one could provide modification at another location on the molecule. unexpectedly, in the present process for making in other G-CSF species containing one chemical 25
- conditions for selectively modifying the N-terminus, and this method is broadly applicable to other proteins (or using reductive alkylation, the end product -- protein analogs thereof), as well as G-CSF. Also surprisingly, with an amine linkage to the water soluble polymer -was found to be far more stable than identical 35 30

has a number of aspects relating to chemically modifying proteins (or analogs thereof) as well as specific modifications of specific proteins. S

In one aspect, the present invention relates to a substantially homogenous preparation of

- Additionally, since the N-terminus of the G-CSF molecule thereof) and related methods. One working example below demonstrates that N-terminally monopegylated G-CSF more is more available during reaction with polyethylene N-terminally chemically modified G-CSF (or analog glycol, a higher proportion of the N-termini are pegylated, and therefore, this species provides stable than other types of monopegylated G-CSF. processing advantages. 20 15
- The present invention also relates to a type a-amino group of the N-terminal residue of a protein or attachment of a water soluble polymer molety at the of reductive alkylation which selectively activates analog thereof, thereby providing for selective N-terminus. This provides for a substantially 20
  - molecules as well as (if polyethylene glycol is used) a preparation of pegylated protein molecules having the G-CSF and for consensus interferon, and these provide homogenous preparation of polymer/protein conjugate protein molety. This method is described below for polyethylene glycol molety directly coupled to the 3 25

for additional aspects of the present invention.

PCT/US95/01729

1 80 -

## Brief Description of the Drawings

FIGURE 1A is a reproduction of the chromatogram of the peaks from ion exchange chromatography of pegylated G-CSF. FIGURE 1B is an SDS-PAGE of various species of mono-pegylated G-CSF.

FIGURE 2 is an SEC-HPLC profile of (Line A) recombinant human methionyl G-CSF standard; (Line B) SCM-PEG-GCSF reaction mix; (Line C) N-terminally

pegylated G-CSF; (Line D) lysine 35 monopegylated G-CSF; (Line E) lysine 41 monopegylated G-CSF. ព

FIGURES 3A, 3B, and 3C are HPLC endoproteinase pegylated G-CSF; (3B) lysine 35 monopegylated G-CSF; SV8 peptide mapping tracings of (3A) N-terminally (3C) lysine 41 monopegylated G-CSF.

comparison of in witro bloactivity of monopegylated G-FIGURE 4 is a bar graph illustrating a CSF species compared to an unpegylated standard.

- subcutaneous injection of N-terminally pegylated G-CSF, results of in vivo bloactivity assays of monopegylated FIGURES 5A and 5B are graphs illustrating G-CSF derivatives, with 5A illustrating the average hamster white blood cell count after a single lysine 35 monopegylated G-CSF, or lysine 41 20
- monopegylated G-CSF, and 5B illustrating the net average white blood cell count area under the curve after a monopegylated G-CSF derivatives listed above. single subcutaneous injection of the various 25
- for stability studies of N-terminally pegylated G-CSF or lysine 35 monopegylated G-CSF. FIGURES 6A and 6B are the FIGURES 6A, 6B, and 6C are SEC-HPLC profiles profiles for stability studies conducted at pH 6.0 at 4°C for (6A) N-terminally monopegylated G-CSF or (6B) lysine 35 monopegylated G-CSF. FIGURE 6C shows the 9
  - profiles for extended stability studies at pH 6.0 and 35

4°C for lysine 35 monopegylated G-CSF. Time ("T") indicates days. FIGURE 7 illustrates size exclusion HPLC analysis of the reaction mixture in the process of methoxypolyethylene glycol aldehyde (MW 6 kDa). reductive alkylation of rh-G-CSF with

S

N-hydroxysuccinimidyl ester of MPEG, also at MM=6kDa. FIGURE 8 illustrates size exclusion HPLC analysis of the reaction mixture using

cell response after a single subcutaneous dose to mono-N alkylation of rh-G-CSF with MPEG aldehydes of different FIGURE 9 illustrates the total white blood terminal MPEG-GCSF conjugates prepared by reductive molecular weights (6 kDa,12kDa and 20 kDa).

10

15

Detailed Description

The present invention relates to substantially homogenous preparations of N-terminally chemically modified proteins, and methods therefor.

In one aspect, the present invention relates to N-terminally chemically modified G-CSF compositions and methods therefor.

20

"Substantially homogenous" as used herein means that the only polymer/protein conjugate.molecules observed are those having one polymer moiety. The preparation may The present methods (for both N-terminally homogenous mixture of monopolymer/protein conjugate. alkylation methods) provide for a substantially modified G-CSF as well as the present reductive 25

N-terminal sequencing, one example below provides for a Preferably, the N-terminally monopegylated material is preparation which is at least 90% monopolymer/protein contain unreacted (i.e., lacking polymer moiety) protein. As ascertained by peptide mapping and conjugate, and at most 10% unreacted protein. 8

35

WO 96/11953

PCT/US95/01729

- 10 -

more. The monopolymer/protein conjugate has biological herein are those which are homogenous enough to display the advantages of a homogenous preparation, e.g., ease example below) and most preferably, the N-terminally monopegylated material is 99% of the preparation or N-terminally pegylated G-CSF preparations provided at least 95% of the preparation (as in the working activity. The present "substantially homogenous"

S

provided herein is that one may select the proportion of monopolymer/protein conjugate to include in the mixture. polymer/protein conjugate molecules, and the advantage One may choose to prepare a mixture of

in clinical application in predictability of lot to lot

pharmacokinetics.

2

with the monopolymer/protein conjugate material prepared Thus, if desired, one may prepare a mixture of various using the present methods, and have a mixture with a attached (1.e., di-, tri-, tetra-, etc.) and combine protein with various numbers of polymer moieties 12

predetermined proportion of monopolymer/protein conjugate. 20

E. coli); suitable eukaryotic hosts include yeast (e.g., general, G-CSF useful in the practice of this invention genomic or cDNA cloning or by DNA synthesis. Suitable Provided below is a working example using may be a form isolated from mammalian organisms or, protein used to treat hematopoietic disorders. In G-CSF, which, as described above, is a therapeutic expression of exogenous DNA sequences obtained by prokaryotic hosts include various bacteria (e.g., procedures or of prokaryotic or eukaryotic host alternatively, a product of chemical synthetic 25 30

hamster ovary cells, monkey cells). Depending upon the host employed, the G-CSF expression product may be S. cerevisiae) and mammaltan cells (e.g., Chinese 35

- 11 -

glycosylated with mammalian or other eukaryotic carbohydrates, or it may be non-glycosylated. The G-CSF expression product may also include an initial methionine amino acid residue (at position -1). The present invention contemplates the use of any and all such forms of G-CSF, although recombinant G-CSF, especially E\_\_COll derived, is preferred, for, among other things, greatest commercial practicality.

S

Certain G-CSF analogs have been reported to be biologically functional, and these may also be chemically modified, by, for example, the addition of one or more polyethylene glycol molecules. G-CSF analogs are reported in U.S. Patent No. 4,810,643.

Examples of other G-CSF analogs which have been reported to have biological activity are those set forth in AU-A-76380/91, EP O 459 630, EP O 272 703, EP O 473 268 and EP O 335 423, although no representation is made with regard to the activity of each analog reportedly

disclosed. See also AU-A-10948/92, PCT US94/00913 and EP

0 243 153.

20

Generally, the G-CSFs and analogs thereof useful in the present invention may be ascertained by practicing the chemical modification procedures as provided herein to selectively chemically modify the N-terminal α-amino group, and testing the resultant product for the desired biological characteristic, such as the biological activity assays provided herein. Of course, if one so desires when treating non-human mammals, one may use recombinant non-human G-CSF's, such as recombinant murine, bovine, canine, etc. <u>See</u> PCT WO 9105798 and PCT WO 8910932, for example.

52

Thus, another aspect of the present invention includes N-terminally chemically modified G-CSF analog compositions. As described above, G-CSF analogs may include those having amino acid additions, deletions

35

30

- 12 -

and/or substitutions (as compared to the G-CSF amino acid sequence set forth in Example 1, below). Those G-CSF analogs which are predicted to function when N-terminally pegylated to selectively stimulate the production of neutrophils are those with an N-terminus which is not necessary for binding to a G-CSF receptor.

See Hill et al., PNAS-USA 90: 5167-5171 (1993); see also

S

PCT US94/00913.

The polymer molecules used may be selected

10 from among water soluble polymers. (For the reductive
alkylation procedure described herein, the polymers
should have a single reactive aldehyde.) The polymer
selected should be water soluble so that the protein to
which it is attached does not precipitate in an aqueous

reductive alkylation, the polymer selected should have a single reactive aldehyde so that the degree of polymerization may be controlled as provided for in the present methods. The polymer may be branched or

oubranched. Preferably, for therapeutic use of the end-product preparation, the polymer will be pharmaceutically acceptable. One skilled in the art will be able to select the desired polymer based on such considerations as whether the polymer/protein conjugate

dosage, circulation time, resistance to proteolysis, and other considerations. For G-CSF, these may be ascertained using the assays provided herein, and one skilled in the art should select the appropriate assays for other therapeutic proteins. The water soluble polymer may be selected from the group consisting of, for example, those listed above (in the Background section), and dextran or poly(n-viny)

pyrrolidone)polyethylene glycol, propropylene glycol 35 homopolymers, prolypropylene oxide/ethylene oxide

co-polymers, polyoxyethylated polyols and polyvinyl alcohol.

Subject to considerations for optimization as discussed below, the polymer may be of any molecular seight, and may be branched or unbranched. For polyethylene glycol, the preferred molecular weight is between about 2kDa and about 100kDa (the term "about" indicating that in preparations of polyethylene glycol,

some molecules will weigh more, some less, than the

in stated molecular weight). Examples 1 and 2 below involve the use of PEG 6000, which was selected for ease in purification and for providing an adequate model system. Other sizes may be used, depending on the desired therapeutic profile (e.g., the duration of sustained release desired, the effects, if any on biological activity, the ease in handling, the degree or lack of antiganicity and other known effects of the polyethylene glycol to a therapeutic protein or analog).

polyethylene glycol to a therapeutic protein or analog). One specific aspect of the present invention is N-terminally monopegylated G-CSF comprised of a

20 is N-terminally monopegylated G-CSF comprised of a polyethylene glycol molety and a G-CSF molety. For the present compositions, one may select from a variety of polyethylene glycol molecules (by molecular weight, branching, etc.), the proportion of polyethylene glycol molecules to G-CSF protein molecules in the reaction mix, the type of pegylation reaction to be performed,

pegylated G-CSF, and the type of G-CSF to be used.

Further, the present compositions and methods include
formulation of pharmaceutical compositions, methods of
treatment and manufacture of medicaments.

the method of obtaining the selected N-terminally

The proportion of polyethylene glycol molecules to protein molecules will vary, as will their concentrations in the reaction mixture. In general, the optimum ratio (in terms of efficiency of reaction in

35

W0 96/11953

PCT/US95/01729

- 14 -

that there is no excess unreacted protein or polymer)
will be determined by the molecular weight of the
polyethylene glycol selected. In addition, as one
example of the present methods involves non-specific

5 pegylation and later purification of N-terminally monopegylated species, the ratio may depend on the number of available reactive groups (typically « or ) amino groups) available. One working example herein involved a fairly low reaction ratio of protein:PEG 10 molecules to obtain monopegylated material generally

molecules to obtain monopegylated material generally (1.5 PEG molecules per protein molecules).

For obtaining N-terminally pegylated G-CSF, the method for pegylation may also be selected from among various methods, as discussed above, or the present reductive alkylation as described in Example 2, below. A method involving no linking group between the polyethylene glycol moiety and the protein moiety is described in Francis et al., In: Stability of protein pharmaceuticals: in vivo pathways of degradation and

20 strategies for protein stabilization (Eds. Ahern., T. and Manning, M.C.) Plenum, New York, 1991) Also, Delgado et al., "Coupling of PEG to Protein By Activation With Tresyl Chloride, Applications In Immunoaffinity Cell Preparation", In: Fisher et al.,

25 eds., Separations Using Aqueous Phase Systems,
Applications In Cell Biology and Biotechnology, Plenum
Press, N.Y.N.Y.,1989 pp. 211-213, involves the use of
tresyl chloride, which results in no linkage group
between the polyethylene glycol moiety and the protein

30 molety. This method may be difficult to use to produce therapeutic products as the use of tresyl chloride may produce toxic by-products. One of the present working examples involves the use of N-hydroxy succinimidyl esters of carboxymethyl methoxy polyethylene glycol. As will be discussed in more detail below, another working

example involves the use of the present reductive alkylation methods

molety from other monopegylated moleties if necessary) may be by purification of the N-terminally pegylated The method of obtaining the N-terminally pegylated G-CSF preparation (i.e., separating this

ഗ

material from a population of pegylated G-CSF molecules. pegylated G-CSF is first separated by ion exchange For example, presented below is an example where

multi-pegylated material having the same apparent charge are separated using size exclusion chromatography. In may be present), and then the monopegylated materials chromatography to obtain material having a charge characteristic of monopegylated material (other 10

this way, N-terminally monopegylated G-CSF was separated protein adducts comprising partitioning the PEG/protein reports a process for fractionating a mixture of PEG-For example, PCT WO 90/04606, published May 3, 1990, multi-pegylated species. Other methods are reported. from other monopegylated species, as well as other 15

In a different aspect, the present invention adducts in a PEG-containing aqueous biphasic system. 20

reactivity of different types of primary amino groups Provided below is a method of protein modification by N-terminally chemically modified protein (or analog). reductive alkylation which exploits differential provides a method for selectively obtaining an (lysine versus the N-terminal) available for 25

appropriate reaction conditions, substantially selective derivatization of the protein at the N-terminus with a groups of the lysine residues and that of the  $\alpha\text{-amino}$ advantage of the pKa differences between the £-amino reaction is performed at pH which allows one to take carbonyl group containing polymer is achieved. The derivatization in a particular protein. Under the 35 9

WO 96/11953

PCT/US95/01729

- 16 -

group of the N-terminal residue of the protein. By such selective derivatization attachment of a water soluble polymer to a protein is controlled: the conjugation with the polymer takes place predominantly at the

modification of other reactive groups, such as the N-terminus of the protein and no significant lysine side chain amino groups, occurs. S

Importantly, and surprisingly, the present invention provides for a method of making a

substantially homogenous preparation of 2

the product having an amine linkage is unexpectedly more monopolymer/protein conjugate molecules, in the absence other chemical modification chemistries. Additionally, of further extensive purification as is required using

stable than a product produced with an amide linkage, below. More specifically, if polyethylene glycol is and this is demonstrated in the aggregation studies N-terminally pegylated protein lacking possibly used, the present invention also provides for 12

antigenic linkage groups, and having the polyethylene glycol molety directly coupled to the protein molety without toxic by-products. 20

(indicating sodium cyanohydroboride as an illustrative The reaction may be diagrammed as follows

reducing agent): 22

- 17

PCT/US95/01729

- 18 -

Thus, one aspect of the present invention is a than one amino group with a water soluble polymer moiety to selectively activate the a-amino group at the amino group; and (b) obtaining the reaction product. One may under reducing alkylation conditions, at a pH suitable comprised of (a) reacting a protein molety having more soluble polymer selectively attaches to said  $\alpha$  -amino terminus of said protein molety so that said water method for preparing a polymer/protein conjugate

0 H-C-PEG

**PROTEIN** 

**NaCNBH**<sub>3</sub>

PROTEIN

separate the reaction products from unreacted moleties. optionally, and preferably for a therapeutic product, Another aspect of the present invention is 10

monopolymer/ protein conjugate. The term "monopolymer/ provide for a substantially homogenous preparation of protein conjugate" is used here to mean a composition having an a-amino group at the amino terminus, and comprised of a single polymer moiety attached to a selective attachment of the polymer to any protein that such reductive alkylation will provide for 15

monopolymer/protein conjugate will have a polymer moiety located at the N-terminus, but not on amino side groups, protein molety (also encompassed are those conjugates using protein analogs as described herein). The such as those for lysine. The preparation will 20

preferably be greater than 80% monopolymer/ protein conjugate, and more preferably greater than 95% monopolymer protein conjugate. 25

conditions generally provide for pKa differences between For a substantially homogenous population of monopolymer/protein conjugate molecules, the reaction attachment of the water soluble polymer moiety to the the lysine amino groups and the  $\alpha$ -amino group at the N-terminus of the desired protein. Such reaction conditions are those which permit the selective 30

N-terminus (the pK being the pH at which 50% of the 35

general, for different proteins, different pH's may be used for optimally modifying the  $\alpha$ -amino groups of the amino groups are protonated and 50% are not). In N-terminus

protein to be used. In general, if the pH is lower than desired (i.e., the less reactive the N-terminal  $\alpha\text{-amino}$ The pH also affects the ratio of polymer to the pK, a larger excess of polymer to protein will be group, the more polymer needed to achieve optimal

polymer:protein ratio need not be as large (1.e., more conditions). If the pH is higher than the pK, the reactive groups are available, so fewer polymer molecules are needed). 2

Similarly, branching of the polymer should be taken into the molecular weight of the polymer, the fewer number of molecular weight of the polymer. In general, the higher polymer molecules which may be attached to the protein. account when optimizing these parameters. Generally, Another important consideration is the 15

preferably be able to reduce only the Schiff base formed reducing agent should be stable in aqueous solution and the higher the molecular weight (or the more branches) For the present reductive alkylation, the the higher the polymer:protein ratio. 20

Preferred reducing agents may be selected from the group borate and pyridine borate. Sodium cyanoborohydride was cyanoborohydride, dimethylamine borate, timethylamine in the initial process of reductive alkylation. consisting of sodium borohydride, sodium used in the working examples below. 25 8

aldehyde for coupling to the protein. For polyethylene 12000 for consensus interferon are described below. It The water soluble polymer may be of the type glycol, use of PEG 6000 for coupling to G-CSF and PEG described above, and should have a single reactive

35

WO 96/11953

PCT/US95/01729

- 20

have also been used successfully in the present methods. Patent No. 5,252,714) is advantageous for its stability Polyethylene glycol propionaldenhyde (SEE, e.g., U.S. is noted, that for G-CSF, PEG 12000, 20000 and 25000

As indicated above, the present methods are broadly applicable to any protein or analog thereof proteins which are the product of an exogenous DNA having an N-terminal α-amino group. For example,

in water.

S

- with an  $\alpha$ -amino group. As indicated above, peptides are sequence expressed in bacteria may have, as a result of bacterially expression, an N-terminal methionyl residue proteins. Protein analogs, such as the G-CSF analogs included, as are peptidomimetics and other modified described above, and the non-naturally occurring 10
  - consensus interferon are also suitable for the present 15

Thus, for the present N-terminally chemically

- herein, the chemical modification may be performed with G-CSF produced in bacteria, having 174 amino acids and an extra N-terminal methionyl residue. As described described herein may be used (e.g., those described supra). The working examples below use recombinant modified G-CSF, any of the G-CSF's or analogs as 20
- any of the water soluble polymers described herein, and present working examples describe the use of polyethylene glycol. the 25

in the present working examples. Demonstrated below is Consensus interferon is another protein used methods for N-terminal monopegylation. Thus, other preparations. As employed herein, consensus human interferon using the present reductive alkylation the preparation of chemically modified consensus aspects of the present invention relate to these

30

leukocyte interferon, referred to here as "consensus

- 22 -

PCT/US95/01729

naturally-occurring human leukocyte interferon subtype occurring polypeptide, which predominantly includes those amino acid residues that are common to all interferon," or "IFN-con", means a nonnaturally-

- residue which is not extant in that position in at least that position and in no event includes any amino acid subtypes, an amino acid which predominantly occurs at sequences and which include, at one or more of those positions where there is no amino acid common to all S
  - and IFN-cong which are disclosed in commonly owned U.S. are hereby incorporated by reference. (U.S. Patent Nos. Patents 4,695,623 and 4,897,471, the entirety of which the amino acid sequences designated IFN-con1, IFN-con2 one naturally-occurring subtype. IFN-con encompasses 15 10
- patents or other standard methods. IFN-con polypeptides is not used herein.) DNA sequences encoding IFN-con may 4,897,471 and 4,695,623 use the denomination "a" which be synthesized as described in the above-mentioned are preferably the products of expression of
- produced in E. coli may be purified by procedures known IFN-con is recombinant IFN-con. IFN-con is preferably to those skilled in the art and generally described in manufactured DNA sequences, transformed or transfected into bacterial hosts, especially E. coli. That is, Klein et al., J. Chromatog. 454: 205-215 (1988) for 25 20
  - isoforms, e.g., purified IFN-conj comprises a mixture of des-methionyl IFN-conj with a blocked N-terminus (Klein IFN-con]. Purified IFN-con may comprise a mixture of methionyl IFN-conl, des-methionyl IFN-conl and
- Alternatively, IFN-con may comprise a specific, isolated other by techniques such as isoelectric focusing which et al., Arc. Blochem. Biophys. 276: 531-537 (1990)). isoform. Isoforms of IFN-con are separated from each are known to those skilled in the art. ဓ္က

1

Thus, another aspect of the present invention is a chemically modified consensus interferon wherein said consensus interferon molety is selected from the group consisting of IFN-con1, IFN-con2, and IFN-con3.

- selective N-terminal chemical modification. Example 3 present reductive alkylation methods may be used for The chemical modification is using a water soluble polymer as described herein, such as PEG, and the herein illustrates a chemically modified IFN conj S 10
- pegylated proteins where the polyethylene glycol moiety In another aspect, the present methods yield N-terminus to a polyethylene glycol moiety (PEG 12000). comprised of an IFN conj molety connected at the

is directly attached to a protein molety, and a separate

- polyethylene glycol molety is directly attached to the linking group is absent and no toxic by-products are interferon as described herein. For a population of present. The examples include G-CSF and consensus pegylated G-CSF protein molecules wherein the 15
- N-terminally pegylated G-CSF molecules), one may perform the above reductive alkylation with or without an acidic G-CSF protein moiety (not necessarily a population of 20

In yet another aspect of the present

- the above. Such pharmaceutical compositions may be for invention, provided are pharmaceutical compositions of administration for injection, or for oral, pulmonary, nasal or other forms of administration. In general, comprehended by the invention are pharmaceutical 25
  - monopolymer/protein conjugate products of the invention and/or carriers. Such compositions include diluents of preservatives, solubilizers, emulsifiers, adjuvants together with pharmaceutically acceptable diluents, compositions comprising effective amounts of ဓ္က
    - various buffer content (e.g., Tris-HC1, acetate, 32

sodium metabisulfite), preservatives (e.g., Thimersol, phosphate), pH and ionic strength; additives such as Polysorbate 80), anti-oxidants (e.g., ascorbic acid, detergents and solubilizing agents (e.g., Tween 80,

particulate preparations of polymeric compounds such as benzyl alcohol) and bulking substances (e.g., lactose, polylactic acid, polyglycolic acid, etc. or into mannitol); incorporation of the material into S

Pharmaceutical Sciences, 18th Ed. (1990, Mack Publishing liposomes. Such compositions may influence the physical in vivo clearance of the present N-terminally chemically state, stability, rate of in vivo release, and rate of modified proteins. See, e.g., Remington's 2

Co., Easton, PA 18042) pages 1435-1712 which are herein incorporated by reference. 15

invention, methods of treatment and manufacture of a medicament are provided. Conditions alleviated or In yet another aspect of the present modulated by the administration of the present

occurring G-CSF) are typically those characterized by reduced hematopoietic or immune function, and, more hematopoietic biological properties of naturally Such polymer/G-CSF conjugates (or analogs having the specifically, a reduced neutrophil count. 20

infectious disease. For example, sepsis results from therapy. Such conditions may result from infectious conditions may be induced as a course of therapy for disease, such as bacterial, viral, fungal or other other purposes, such as chemotherapy or radiation 25

factor, as in the geriatric setting, patients may have a chronic neutropenia or leukemias. Age may also play a mobilization. Some of such conditions are reviewed in hereditary or environmentally caused, such as severe bacterial infection. Or, such condition may be reduced neutrophil count or reduced neutrophil 30

32

WO 96/11953

- 24

Morstyn, G. and T.M. Dexter, eds., Marcel Dekker, Inc., conditions which may be alleviated or modulated by Filgrastim (r-met Hu G-CSF) in Clinical Practice, N.Y., N.Y. (1993), 351 pp. Other less-studied

- activators. The mode of action of G-CSF (or analogs) in the blood stream, and certain cardiovascular conditions, may include the reduction of lipids (or cholesterol) in administration of the present polymer/G-CSF conjugates as G-CSF may induce production of plasminogen Ś
- activity may allow for fewer G-CSF injections per course benefits in that the sustained duration of biological polyethylene glycol, may provide practical patient these settings is not well understood at present. addition of a water soluble polymer, such as 9

Generally, conditions which may be alleviated consensus interferon is applicable and include cell polymer/consensus interferon are those to which or modulated by administration of the present of treatment. 15

- Cf., McManus Balmer, DICP, The Annals of Pharmacotherapy modifiers in cancer treatment: an overview. Part I. The 24: 761-767 (1990) (Clinical use of biologic response autoimmune disorders such as multiple sclerosis. proliferation disorders, viral infections, and 20
- published April 30, 1992, which is herein incorporated consensus interferon are described in PCT WO 92/06707, by reference. For example, hepatitis (A, B, C, D, E) treatment of cell proliferation disorders using Interferons). Methods and compositions for the 25
- consensus interferon has 20% of the biological activity may be treatable using the present pegylated consensus demonstrates that, in vitro, chemically modified The working example below of non-chemically modified consensus interferon. interferon molecules. ဓ္က

PCT/US95/01729

- 25 -

For all of the above molecules, as further studies are conducted, information will emerge regarding appropriate dosage levels for treatment of various conditions in various patients, and the ordinary skilled worker, considering the therapeutic context, age and general health of the recipient, will be able to ascertain proper dosing. Generally, for injection or infusion, dosage will be between 0.01 µg/kg body weight, (calculating the mass of the protein alone, without chemical modification), and 100 µg/kg (based on the same).

The below examples illustrate the various aspects discussed above. In Example 1, the advantages of N-terminally pegylated G-CSF are demonstrated as compared to G-CSF monopegylated at lysine-35 or lysine 41 (of the G-CSF menopegylated at lysine-35 or lysine 2 illustrates the present reductive alkylation in N-terminally pegylating G-CSF. The method provides for a substantially homogenous preparation of N-terminally pegylated G-CSF. Example 3 illustrates the present reductive alkylation in N-terminally pegylating consensus interferon.

15

10

#### EXAMPLE 1

25

20

A. Preparation of Recombinant Human met-G-CSF Recombinant human met-G-CSF (referred to as "rhG-CSF" or "r-met-hu-G-CSF" from time to time herein) was prepared as described above according to methods in the Souza patent, U.S. Pat. No., 4,810,643, which is herein incorporated by reference. The rhG-CSF employed was an E. Coll derived recombinant expression product having the amino acid sequence (encoded by the DNA

30

W0 96/11953

PCT/US95/01729

- 26 -

sequence) shown below (Seq.ID NOs. 1 and 2):

ATG ACT CCA TIA GGT CCT GCT TCT TCT CTG CCG CAA AGC TIT CTG
M T P L G P A S S L P Q S F L

- CTG ANA TGT CTG GAA CAG GTT CGT ANA ATC CAG GGT GAC GGT GCT L K C L E Q V R K I Q G D G A
- GCA CTG CAA GAA AAA CTG TGC GCT ACT TAC AAA CTG TGC CAT CC 10 A L Q E K L C A T Y K L C H P
- GAN GAG CTG GTA CTG CTG GGT CAT TCT CTT GGG ATC CCG TGG GV
- 15 CCG CTG TCT TCT TGT CCA TCT CAA GCT CTT CAG CTG GCT GGT TGT
  P L S S C P S Q A L Q L A G C C
  CTG TCT CAA CTG CAT TCT GGT CTG TTC CTG TAT CAG GGT CTT CTG
- 20
  CAA GCT CTG GAA GGT ATC TCT CCG GAA CTG GGT CCG ACT CTG GAC
  Q A L E G I S P E L G P T L D
- ACT CTG CAG CTA GAT GTA GCT GAC TTT GCT ACT ACT ATT TGG CAL
  25 T L Q L D V A D F A T T I H Q
  CAG ATG GAA GAG CTC GGT ATG GCA CCA GCT CTG CAA CCG ACT CA
- 30 GGF GCT ATG CCG GCA TTC GCA TTC CAG CGT CGT GCA GG G A M P A F A S A F Q R R A C GGT GTA GT GCT TCT CAT CTG CAA TCT TTC CTG GAA GTA TC
- 35
  TAC CGT GTT CTG CGT CAT CTG GCT CAG CCG TAA TAG
  Y R V L R H L A Q P \* \*

(This was also the non-pegylated composition used for the control animals.) Alternatively one may use purchased Neupogen® for the following pegylation procedures (the package insert.for which is herein incorporated by reference).

# B. Preparation of Pegylated G-CSE

45

A 10 mg/ml solution of the above rh-G-CSF, in 100 mM Bicine pH 8.0, was added to solid SCM-MPEG (N-hydroxy succinimidyl esters of carboxymethyl methoxy polyethylene glycol) (Union Carbide) with an average

gentle stirring, the mixture was diluted to 2 mg/ml with CSF, some di-pegylated rh-G-CSF, unmodified rh-G-CSF and molecular weight of 6000 Daltons. This gave a 1.5 molar consisted mainly of three forms of mono-pegylated rh-Gexcess of SCM-MPEG to rh-G-CSF. After one hour with sterile water, and the pH was adjusted to 4.0 with dilute HCl. The reaction was carried out at room temperature. At this stage, the reaction mixture reaction bi-product (N-hydroxy succinimide).

2

# Preparation of N-terminally Regylated rh-G-CSE

The three forms of monopegylated rh-G-CSF were chromatography. The reaction mixture was loaded (1 mg separated from each other using ion exchange

protein/ml resin) onto a Pharmacia S Sepharose FF column The column was washed with 3 column volumes of buffer A. equilibrated in buffer A (20 mM sodium acetate, pH 4.0). The protein was eluted using a linear gradient from 0-(Pharmacia XK50/30 reservoir, bed volume of 440 ml) 12

23% buffer B (20 mM sodium acetate, pH 4.0, 1M NaCl) in 15 column volumes. The column was then washed with one column volume of 100% buffer B and reequilibrated with 3 column volumes of buffer A. The flow rate for the 20

species were pooled according to FIGURE 1A. These pools entire run was maintained at  $\theta$  ml/min. The eluent was monitored at 280 nm and 5 ml fractions were collected. were concentrated with a 350 mL Amicon stirred cell Fractions containing the individual monopegylated using a YM10 76 mm membrane. 25

monopegylated species. Typically, 5-10 mg in 2-5 ml of solution were loaded onto a 120 ml Pharmacia Superdex chromatography to separate di-pegylated species from 75 HR 16/60 column equilibrated with 20 mM sodium Pooled fractions from the ion exchange chromatography were subjected to size exclusion 35 20

WO 96/11953

- 28 -

PCT/US95/01729

Fractions from separated peaks were pooled and subjected 100 min. Two ml fractions were collected. The protein acetate pH 4.0. The column was run at 1.5 ml/min for content of the eluent was monitored at 280 nm.

to analysis. The table below compares the proportional yields for each peak. S

TABLE 1

# Relative Yields and Site of Modification

10

Site of Modification	Figure 1A Reference	Relative Yields
N-Terminus	Peak 1A	3
Lysine-35	Peak 2A	2
Lysine-41	Peak 3A	1

positions 17 and 24 probably were not significantly Under these conditions, the lysines at pegylated.

#### Characterization ä

15

chromatography HPLC ("SEC HPLC") (Figure 2), (3) peptide sample: (1) SDS-Page (Figure 1B), (2) Size exclusion mapping analysis (Figures 3A, 3B, and 3C), (4) in vitro Five analyses were done to characterize each

With regard to the composition of each sample, G-CSF bioassay (Figure 4), and (5) in vivo testing in hamster (Figures 5A and 5B). 20

results demonstrate that, of the N-terminally

the remainder of the samples is lower than the detection remainder probably being unpegylated material (although monopegylated G-CSF, the samples showed a greater than 95% N-terminally pegylated composition, with the limit of the assay). With regard to the percent 25

monopegylated material (N-terminal, pegylated at lysine 35, and pegylated at lysine 41), the N-terminal and the lysine 41 demonstrated greater than 97% monopegylated, monopegylated for each of the three types of 30

PCT/US95/01729

- 29 -

lower, probably due to the instability of the molecule in the assay conditions. To summarize, the following and the lysine 35 pegylated material being somewhat results were obtained:

N-terminally pegylated G-CSE Percent Composition of TABLE 2

	•			
_	3.4	0.57	2.56	G-CSF
				Unmodified
	9.96	99.43	97.44	G-CSF
				Mono-pegylated
	Sequencing*	SEC HPLC	SDS PAGE	
	N-Terminal		Non-Reduced	

 The N-terminal sequencing, as discussed infra is not molecule from the N-terminus of the protein during the here considered quantitative, as there may have been artifactual separation of the polyethylene glycol sequencing process. 2

15

Percent Monopegylated for Three Species TABLE 3

	N-terminal	LYS35 PEG-	LYS41
	PEG-GCSF	GCSF**	PEG-GCSF
	(RI/UV=.96)*	(RI/UV=.72)	(RI/UV=.96)* (RI/UV=.72) (RI/UV=1.12)
Non-reduced		. ;	
SDS-PAGE	97.44	77.41	100.00
SEC HPLC	99.43	93.38	96.66

number of polyethylene glycol molecules per molecule of protein. It is calculated from the SEC HPLC data using \* RI/UV refers to the Index of Refraction/Ultraviolet light absorbance ratio, and is used to estimate the

32

WO 96/11953

PCT/US95/01729

- 30 -

an Index of Refraction for polyethylene glycol and an ultraviolet absorbance for protein. \*\* Note that this species is unstable under the assay

conditions used.

METHODS

SDS-PAGE. SDS-PAGE was carried out in a non-reduced 4-20% ISS Dailchi Pure Chemicals, Co.,

Tokyo, Japan minigel using a Coomassie Brillant Blue R-250 stain. The gel was scanned using a molecular Dynamics Densitometer with Image Quant. 2

number 1 (from the left hand side) included molecular Results: Results are presented in FIGURE 1B. Lane

weight protein standards (Novex Mark 12 Molecular Weight µg loaded. Lane 4 contains N-terminally monopegylated Lane 3 contains the SCM-PEG-GCSF reaction mix, with 10 Standards). Lane 2 contains 3 µg rh-G-CSF standard. G-CSF, with 10 µg loaded. Lane 5 contains 10 µg of 15

the 41st residue from the N-terminal methionine. As can G-CSF with the pegylation site at the lysine found at lysine found at the 35th residue from the N-terminal monopegylated G-CSF with the pegylation site at the methionine. Lane 6 contains 10 µg of monopegylated 20

be seen, Lane 3, containing the N-terminally monopegylated material, shows a single band 25

column, using 100 mM sodium phosphate, pH 6.9, lml/min out using a Waters HPLC system with a Biosep SEC 3000 Pressure Liquid Chromatography. SEC-HPLC was carried monopegylated material) and "E" (Lys-41 monopegylated for 20 minutes. The signal was monitored at 280 nm. containing the N-terminally monopegylated rh-G-CSF Size Exclusion Chromatography-High Results: As can be seen from Figure 2, line "C," contains a single peak, as do lines "D" (Lys-35 30

material). This indicates substantial purity among the separated fractions of monopegylated G-CSF.

- Peptide mapping. The following methods
- PEG-2", and "Mono-PEG-3", were analyzed. (a) Reductive speed vac dried and reconstituted to a concentration of Guanidinum HCl and 1 mM EDTA pH 8.4. Samples were then were used. Three samples, called "Mono-PEG 1", "Monoalkylation. 500 µg aliquots of mono-PEG G-CSF were 1 mg in 950 µl in 0.3 M Tris-HCl containing 6 M S
  - exchange, sample concentration was adjusted to 0.5 mg/ml desalted using Sephadex G-25 Quick Spin Protein Columns substrate ratio of 1:25) at 25°C for 26 hours. (c) HPLC peptide mapping. Protein digests were injected onto a digestion. Samples were digested with SV8 (enzyme to incubated at 37°C for 20 minutes. Samples were then using additional buffer. (b) Endoproteinase SVB S-carboxymethylated by adding iodoacetic acid and and buffer exchanged. After desalting and buffer 12 2
    - Vydac C4 column (4.6 x 250 mm, 5 µ particle size, 300 Å sequence analysis. Results: As compared to a reference linear gradient of acetonitrile in 0.1% TFA. Peptides were manually collected and dried in a Speed Vac for pore size) and peptides were mapped by HPLC using a standard, (1) (FIGURE 3A) for "Mono-PEG-1", (the N-20
      - minutes; (11) (FIGURE 3B) for "Mono-PEG-2", (the lysine (FIGURE 3C) for "Mono-PEG-3" (the lysine 41 pegylated material), the peak at retention time of 30.3 minutes minutes, and a new peak eluted at 66.3 minutes; (iii) 35 pegylated material), there was a decrease in peak terminally mono-pegylated material), a peak at 57.3 height for a peptide with a retention time of 30.3 minutes diminished and a new peak appeared at 77.5 30 25
- These peptides were the only significant differences in was missing, and a new peak appeared at 66.4 minutes. the sample maps. There were some small incomplete 32

WO 96/11953

PCT/US95/01729

- 32

terminal sequence analysis. Each of the "new" peptides cleavages seen on either side of the peptide at 86.1 In the above maps were N-terminally sequenced for minutes due to minor digestion differences. (d)

- For "Mono-PEG-1" (the N-terminally pegylated material), identification. The dried peptides were reconstituted in 0.1% TFA and sequenced on an ABI protein sequencer. 60% of the "new" peak (at 77.5 minutes) was sequenced for 10 cycles. The initial yield was less than 5%, S
- that this initial peptide should have resulted in a zero blocked by a polyethylene glycol molecule. It is noted initial yield, and the <5% yield observed may be from indicating that the N-terminal methionyl residue is detachment of the polyethylene glycol from the N-10
  - pegylated material), 80% of the total peak volume was sequence detected was that of the N-terminal peptide, M-T-P-L-G-P-A-S-S. For "Mono-PEG-2", (the lysine 35 terminal methionyl during sequence analysis. The collected for the peak at 66.3 minutes, and was 15
- significantly low, indicating pegylation at position 35. The recovery of lysine 41 was consistent with the other sequenced for 9 cycles. The recovery of lysine 35 was residue, indicating no modification of this position. The peptide at 30.3 minutes decreased in peak height 20
  - 57.5% of the peak area of the corresponding peptide. reference map. The peptide at 30.3 minutes is only compared to the corresponding peak in the standard K-L-C-A-T-Y-K-L. For "Mono-PEG-3", the lysine 41 The sequence detected for this species was 25
- material, 80% of the total peak volume collected for the cycles. The sequence detected was K-L-C-A-T'Y-K-L, and lysine 35 was consistent with other residue recoveries. contained lysine residues 35 and 41. The recovery of peptide eluting at 66.4 minutes was sequenced for 9 30
- The recovery of lysine 41 was significantly lower 32

PCT/US95/01729

PEG-2" is lysine 35 partially pegylated; and "Mono-PEGindicating pegylation at position 41. Results: "Mono-3" is lysine 41 pegylated material. By comparing both PEG-1" is N-terminally monopegylated material; "Mono-

- heights for the N-terminal peptide. This indicates that that both the "Mono-PEG-2" (lysine 35) and "Mono-PEG-3" the lysine 35 and lysine 41 material contains a small amount of N-terminally pegylated material or that the the reference standard (non-pegylated G-CSF) and GCSF monopegylated 1, 2, and 3 peptide maps, it was found (lysine 41) maps exhibit slightly diminished peak N-terminal methionine has a small percentage of S
  - pegylation. 10
- assays. As can be seen, the N-terminally monopegylated active. FIGURE 4 illustrates the results of in vitro In vitro activity. The material was material had 68% of the activity of non-modified 15
- growth medium lacking rhG-CSF. An extended twelve point containing 5% FBS and 20 ng/ml rhG-CSF. Prior to sample assay utilizing a G-CSF dependent clone of murine 32D Methods: The G-CSF in vitro bioassay is a mitogenic addition, cells were prepared by rinsing twice with cells. Cells were maintained in Iscoves medium 20
  - prepared for each sample and run in triplicate. Because rhG-CSF standard curve was prepared, ranging from 48 to dilutions, estimated to fall within the linear portion of the standard curve, (1000 to 3000 IU/ml), were .5ng/ml (equivalent to 4800 to 50 IU/ml). Four 8 25
- of their apparent lower activity in witro, the pegylated microtiter plate containing 10,000 cells/well. After less. A volume of 40µl of each dilution of sample or rhG-CSF samples were diluted approximately 4-10 times standard is added to appropriate wells of a 96 well forty-eight hours at 37°C and 5.5% CO2, 0.5µmC1 of 35

34

hours later, the plates were then harvested and counted. methyl-3H-thymidine was added to each well. Eighteen A dose response curve (log rhG-CSF concentration vs.

- unknown test samples were determined using the resulting Results: Results are presented in FIGURE 4. As can be linear equation and correction for the dilution factor. seen, of the three monopegylated species, N-terminally analysis of points which fall in the linear portion of the standard curve was performed. Concentrations of CPM-background) was generated and linear regression S 2
- monopegylated G-CSF demonstrates the highest in vitro In vivo activity. In wive testing biological activity.
- material. The in vivo testing was carried out by dosing male golden hamsters with a 0.1 mg/kg of sample, using a Serum samples were subject to a complete blood count on subjected to terminal bleeds per group per time point. confirmed the activity of the N-terminally pegylated single subcutaneous injection. Four animals were 15
- average white blood cell counts were calculated. As can be seen in FIGURES 5A and 5B, the response from each the same day that the samples were collected. The subcutaneous injection of 0.1 mg/kg. Two of the material peaks after one day following a single 20
  - monopegylated materials, (N-terminal and Lys-35) showed prolonged responses, while the response for the protein activity over unmodified rhG-CSF (indeed it shows less, FIGURE 5B). These results illustrate that attaching a pegylated at lysine-41 showed no increase in in vivo 25
- under the curve after the single subcutaneous injection alter the therapeutic profile of a protein and that the benefit of pegylating a protein can be dependent upon the site of modification. (The net average WBC area single polyethylene glycol molecule can dramatically (calculated according to CRC Standard Mathematical 35 8

Tables, 26th Ed. (Beyer, W.H., Ed.) CRC Press inc., Boca Raton, Fl 1981. p. 125) was similar for the Lys-35 and N-terminal monopegylated species.)

## E. Stability Studies

S

In addition, stability studies were performed on the N-terminal and Lys-35 monopegylated species as prepared above. (The Lys-41 material was not used as it demonstrated no additional activity beyond unmodified G-CSF). These studies demonstrate that the N-terminally pegylated G-CSF is unexpectedly more stable upon storage than the other form of monopegylated G-CSF, monopegylated lysine 35. Stability was assessed in terms of breakdown of product, as visualized using

20

Methods: N-terminally pegylated G-CSF and lysine-35 monopegylated G-CSF were studied in two pH levels, pH 4.0 and pH 6.0 at 4°C, each for up to 16 days. Elevating the pH to 6.0 provides an environment for

20 accelerated stability assays. For the pH 6.0 samples, N-terminal monopegylated G-CSF and Lysine 35 monopegylated G-CSF as prepared above were placed in a buffer containing 20 mM sodium phosphate, 5 mM sodium acetate, 2.5 % mannitol, 0.005 % Tween-80, pH 6.0 at a final protein concentration of 0.25 mg/ml. One ml aliquots were stored in 3 ml sterile injection vials. Vials of each was stored at 4°C and 29°C for up to 16 days. Stability was assessed by SEC-HPLC tracings.

If the later measurements stayed the same (as 30 ascertained by visual inspection) as the initial (Time 0) measurements, the sample was considered to be stable for that length of time.

Results: Results are illustrated in FIGURES 6A-6C.

(a) Comparison at pH 6.0 at 4°C. FIGURE 6A shows the

4°C SEC-HPLC profiles for N-terminally monopegylated

35

WO 96/11953

PCT/US95/01729

- 36 -

G-CSF at pH 6 over time and FIGURE 6B shows the 4°C SEC-HPLC profiles for lysine-35 monopegylated G-CSF at pH 6 over time. One interpretation is that the Lys-35 material is breaking down to a material with a molecular weight similar to that of unmodified G-CSF.

- 5 weight similar to that of unmodified G-CSF.

  (b) Extended duration at pH 4.0 at 4°C. PH 4.0 and 4°C provides something of a control illustrating relatively stable conditions in that the N-terminal species shows no degradation. For the Lys 35 species, the break down 10 of the material is still occurring, but at a much slower
- rate. (c) Comparison at pH 6.0 at 4°C. FIGURES 6C illustrates the SEC-HPLC profiles for the monopegylated G-CSF's
- under these conditions, under extended time periods. As 15 can be seen, at pH 6.0 and 4°C, the lysine-35 material exhibits no increase in depegylation at day 16 or day 35 beyond what was seen for day 6 (FIGURE 6B). This indicates that depegylation (instability) does not change, under those conditions, beyond day 6.

20

#### EXAMPLE 2

This example demonstrates a method of preparing a substantially homogenous population of monopegylated G-CSF using reductive alkylation, and characterization of this population. Recombinant G-CSF as described in the above example was used. As can be seen, not only do the present methods provide advantages

25

in terms of yield of N-terminally chemically modified
30 material, but also, the amine linkages of the present
reductive alkylation process produce substantially more
stable products as demonstrated by a large difference in
the degree of aggregation upon storage.

Preparation of the mono-methoxypolyethylene glycol-GCSF conjugates with the site of attachment at the N-terminal G-amino residue.

To a cooled (4 °C), stirred solution of rhG-CSF (1 ml, 5 mg/ml as described in the Example above) in 100 mM sodium phosphate, pH 5, containing 20 mM NaCNBH3, was glycol aldehyde (MPEG) (average molecular weight, 6 kDa). The stirring of the reaction mixture was continued at added a 5-fold molar excess of methoxypolyethylene the same temperature.

The extent of the protein modification during 0.05 M NaH2PO4,0.05 M Na2HPO4,0.15 M NaCl, 0.01 M NaN3, using Bio-Sil SEC 250-5 column (BIO-RAD) eluted with the course of the reaction was monitored by SEC HPLC pH 6.8 at 1 ml/min.

9

15

After 10 hours the SEC HPLC analysis indicated mono-MPEG-GCSF derivative. This can be seen in FIGURE 7, minor peak of unreacted G-CSF eluting at 9.78 minutes. eluting at 8.72 minutes as monopegylated G-CSF, and a which is a recording of the protein concentration (as determined by absorbance at A280) and shows the peak that 92% of the protein has been converted to the

20

The molecular weight was also 6kDa. As can be seen, the obtained when using N-hydroxysuccinimidyl ester of MPEG. mixture obtained from this reaction was: tri-MPEG-GCSF As a comparison, FIGURE 8 shows the peaks conjugated (shoulder at approximately 7.25 minutes), mono-MPEG-GCSF conjugate (peak at 8.43 minutes) and di-MPEG-GCSF conjugate (peak at 7.62 minutes), unreacted G-CSF (peak at 9.87 minutes).

25

the pH of the reaction mixture was adjusted to pH 4 with 100 mM HCl and the reaction mixture was diluted 5 times At this 10 hour time point, where 92% of the protein had been converted to monopegylated material,

3

with 1 mM HCl.

32

CS611/96 OM

PCT/US95/01729

- 38 -

Sepharose HP column (Pharmacia) equilibrated with 20 mM The mono-MPEG-GCSF derivative was purified by sodium acetate buffer, pH 4. The reaction mixture was ion exchange chromatography using HiLoad 16/10 S

- unreacted MPEG aldehyde eluted with three column volumes loaded on the column at a flow rate of 1 ml/min and the of the same buffer. Then a linear 400 minute gradient from 0% to 45% 20 mM sodium acetate, pH 4, containing 1 M NaCl was used to the elute the protein-polymer 10
  - conjugate at 4°C.

Fractions containing the mono-MPEG-GCSF derivative were pooled, concentrated and sterile filtered. Various mono-MPEG-GCSF conjugates obtained by modifying rh-G-CSF with MPEG aldehydes of different average molecular weight (12, 20 and 25 kDa) were prepared in a similar manner. 15

### Analysis of Monopegylated G-CSE œ.

Molecular Weight

20

equilibrium centrifugation. These results are presented matrix assisted laser desorption mass spectrometry, and conjugates was determined by SDS-PAGE, gel filtration, The molecular weight at the monopegylated

in Table 4, below.

PCT/US95/01729

- 39 -

#### Molecular Weights of N-terminally Alkylated Mono-MPEG-GCSF Conjugates TABLE 4

Con jugate	24 estimated	HM gml filtration	M mass spectometry	M ultra- centrifugation
(GEOR) - CCSF	24800	53024	24737	25548
(12kDa) (2SF	30800	124343	30703	11162
NPEC- (20kDa) - gess	38800	221876	38577	30196
18 EG- (25 EDs) - GOST	43800	333266	N/D	Q/N

mapping. Cyanogen bromide cleavage of the N-terminal methods of N-terminal protein sequencing and peptide The structure of the prepared N-terminal mono-MPEG-GCSF conjugates was confirmed using the methionyl residue resulted in removal of the polyethylene glycol.

20

## 2. Biological Activity

measuring the stimulated uptake of  $^{\rm 3H}$  thymidine into The in vitro biological activity of the pegylated MPEG-GCSF conjugates was determined by mouse bone marrow cells. 15

The in vivo biological activity was determined by subcutaneous injection to hamsters MPEG-GCSF

conjugates or rhG-CSF (at 100mg/kg) and measuring total non-derivatized G-CSF was calculated as the area under white blood cell count. Bloactivity as compared to 20

WO 96/11953

- 40 -

PCT/US95/01729

curve. Relative bioactivity of the MPEG-GCSF derivatives the WBC/time curve after subtracting the vehicle control was expressed as the percentage bioactivity compared to unmodified G-CSF.

- graph illustrating the total white blood cell response This is illustrated in FIGURE 9, which is a reductive alkylation of rhG-CF with MPEG aldehydes of different molecular weights (6kDa, 12kDa, and 20kDa). to mono-N-terminal MPEG-GCSF conjugates prepared by
- As can be seen, all monopegylated molecules elicited a blood cell count achieved, except the 12kDa achieved a polyethylene glycol moiety used, the higher the white slightly higher count than did the 20kDa version at response. The higher the molecular weight of the 15 10

### Stability Studies

N-terminally pegylated G-CSF's prepared by the reductive alkylation here) were compared for the degree G-CSF with an amide linkage (NHS chemistry as described of aggregation. Unexpectedly, N-terminally pegylated substantially more stable than N-terminally pegylated two different chemistries (amide vs. amine of the G-CSF using the amine chemistry was found to be in Example 1).

20

25

samples were in 10 mM NaOac pH4.0 with 5% sorbitol, at a Methods: Both N-terminally pegylated G-CSF pegylated with PEG 6000 for each. The amide-linked concentration of 1mg protein/ml. The G-CSF's were

- Ä determined using size exclusion chromatography and ion conjugate was prepared as in Example 1, and the amine the end of eight weeks, the degree of aggregation was samples of each were stored for eight weeks at 45°C. linked conjugate was prepared as in Example 2. Six 30
  - exchange chromatography. 35

PCT/US95/01729

- 41 -

Results: The results demonstrate that the present reductive alkylation methodis advantageous over aceylation because, surprisingly, it produces a material with far fewer aggregates after 8 weeks at elevated temperatures. The table below shows the percent of non-aggregated material ("main peak" material) for both materials using size exclusion chromatography (SEC) or ion exchange (IE):

'n

TABLE 5

2

% Main Peak SEC/IE	828/848	378/658*
Sample:8 wks, 45°C	Amine	Amide

 This is relatively high because ion exchange does not allow for full analysis of the aggregation.

EXAMPLE 3

15

This example demónstrates chemically modified consensus interferon. More specifically, this example demonstrates a method of preparing a substantially homogenous population of monopegylated IFN-con, and characterization of this population.

20

example uses IFN-con1, any of the consensus interferons as set forth above may be chemically modified. Such chemical modification may be with any of the water soluble polymers as listed above, although PEG is used here. For pegylation, PEG 12000 is used here, although any water soluble PEG species may be used (PEG 12000 was selected for ease in handling and convenience). Again, a variety of means for chemical modification are available (such as acetylation) but, for selective N-

WO 96/11953

PCT/US95/01729

- 42 -

terminal chemical modification, such as N-terminal pegylation, the present reductive alkylation method as described in this example is preferred.

# A. Preparation of Consensus Interferon

S

described in Figure 2 of U.S. Patent No. 4,695,623, which is incorporated by reference in its entirety, was used for the preparation of monopegylated consensus interferon. The IFN-conj was produced by expression of exogenous DNA in bacteria, and contained a methionyl residue at the N-terminus.

10

## Pegylation of Consensus Interferon

15

To a cooled (4 °C), stirred solution of IFN-conj (3.45 mg/ml, containing 35.25% of the N-terminally blocked form) in 100 mM sodium phosphate, pH 4.0, containing 20 mM NaCNBH3 was added a 8-fold molar excess of methoxypolyethylene glycol aldehyde (MPEG) (average molecular weight 12 kDa).

The extent of the protein modification during the course of the reaction was monitored by reverse phase HPLC using a polymer-based

20

poly(styrene/divinylbenzene) column, such as PLRP-S (PL
25 Separation Sciences Polymer Laboratories).

After 10 hours the reverse phase HPLC analysis indicated that 80% of the protein with unblocked  $\alpha\textsc{-amino}$  group at the N-terminus has been converted to the MPEG-IFN-conl derivative.

30 At the 10 hour time point, the reaction mixture was diluted 5 times with water and the mono-MPEG-IFN-Conj derivative was purified by ion exchange chromatography using HiLoad 16/10 S Sepharose HP column (Pharmacia) equilibrated with 20 mM sodium 35 acetate buffer, pH 4.0. The reaction mixture was loaded

- 43 -

unreacted MPEG aldehyde eluted with three column volumes of the same buffer. Then a linear 420 minute gradient on the column at a flow rate of 1 ml/min and the from 0% to 75% of 20 mM sodium acetate, pH 4.0,

containing 1 M NaCl was used to the elute the protein-Fractions containing the mono-MPEG-IFN-Con1 derivative were pooled; concentrated and sterile polymer conjugate at 4°C.

S

filtered.

20

Analysis of Monopegylated Consensus Interferon ပ

Homogeneity

mono-MPEG-IFN-Conj conjugates was determined by SDS-PAGE using 10-20% or 4-20% precast gradient gels (Integrated Separation Systems). The gels showed a main band at MM The homogeneity of the purified 35 kDa.

15

To characterize the effective size

- (hydrodynamic radius) of each mono-MPEG-IFN-con; species a Superose 6 HR 10/30 (Pharmacia) gel filtration column 280 nm. The BIO-RAD gel filtration standards served as was used. Proteins were detected by UV absorbance at globular protein molecular weight markers. 20
- mono-MPEG-IFN-conj conjugates was confirmed using the methods of N-terminal protein sequencing and peptide The structure of the purified N-terminal 25

It is noted that this IFN-con1 preparation

pegylated, however, was monopegylated at the N-terminus. other means to separate the blocked from the unblocked contained some N-terminally blocked material, and this Thus, in this type of situation, one may wish to use material was not pegylated. The material which was material, such as ion exchange or size exclusion 3 35

chromatography.

WO 96/11953

- 44 -

PCT/US95/01729

## Biological Activity

biological activity of the mono-MPEG-IFN-Con1 conjugates measuring their antiviral bioactivity. The in vitro The in vitro biological activity of the mono-MPEG-IFN Conj conjugates was determined by

It was found that the mono-MPEG (12 kDa)-IFNin human (HeLa) cells.

was determined by measuring their antiviral bioactivity

of protein) when compared to the unmodified species. As Conj conjugate shows 20% in vitro bloactivity (in U/mg noted above for pegylated G-CSF, the in vitro assays, while useful to demonstrate biological activity, may show a rather low level of activity for chemically 2

release. The in vivo biological activity may be higher modified proteins because of characteristic sustained than the in vitro biological activity. 15

### Chemically modified consensus interferon with the N-terminally blocked molecules removed ď

20

performed on the above IFN-conj which had the portion of N-terminally blocked molecules pre-removed. Both PEG The present reductive alkylation was also 12000 and PEG 20000 were used in the reductive

The molecular apparent molecular weights were alkylation method as described above. as follow:

	Apparent MW by	Apparent MW by
Conjugate .	Gel Filtration	SDS-PAGE
monoMPEG(12kDa)	104.0 kDa	35.6 kDa
IFN-con1		
monoMPEG (20kDa)	175.1 kDa	55.4 kDa
IEN-con1		

PCT/US95/01729

- 45 -

using FPLC ion exchange chromatography resulted in three Analysis of the IFN-con; 20 kDa PEG conjugate peaks:

MonoMPEG-IFN-con1: 66% of the total area

(eluting at 265.93 ml) S

conjugate: 24% of the total area (eluting at 238.42 Protein aggregate and oligo MPEG-IFN-con1

ml); and

Unreacted IFN-con]: 10% of the total area

(eluting at 328.77 ml).

ព

The conditions were not further optimized. One may further separate the monopegylated material using chromatographic or other methods. While the present invention has been described variations and modifications will occur to those skilled in the art. Therefore, it is intended that the appended in terms of preferred embodiments, it is understood that claims cover all such equivalent variations which come 15

within the scope of the invention as claimed. 20

WO 96/11953

PCT/US95/01729

46

SEQUENCE LISTING

(1) GENERAL INFORMATION:

(1) APPLICANT: AMGEN INC.

(11) TITLE OF INVENTION: N-Terminally Chemically Modified Protein Composition and Methods

(111) NUMBER OF SEQUENCES: 2

(1v) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Amgen Inc.
(B) STREET: 1840 Dehavilland Drive
(C) CITY: Thousand Oaks
(D) STATE: California
(E) COUNTRY: USA
(F) ZIP: 91320

COMPUTER READABLE FORM:

3

(A) MEDIUM TYPE: Floppy disk
(B) COMPUTER: IBM PC compatible
(C) OPERATING SYSTEM: PC-DOS/MS-DOS
(D) SOFTWARE: Patentin Release #1.0, Version #1.25

CURRENT APPLICATION DATA: (vi)

(A) APPLICATION NUMBER: (B) FILING DATE: (C) CLASSIFICATION:

(viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: Pessin, Karol M. (C) REFERENCE/DOCKET NUMBER: A-286

(2) INFORMATION FOR SEQ ID NO:1:

(A) LENGTH: 531 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear (1) SEQUENCE CHARACTERISTICS:

(11) MOLECULE TYPE: CDNA

(x1) SEQUENCE DESCRIPTION: SEQ ID NO:1:

	CAGGITGGIA AANICCAGGG IGACGGIGCI GCACTGCAAG AAAAACIGIG CGCIACIIAC 120	AAACTGTGCC ATCCGGAAGA GCTGGTACTG CTGGGTCATT CTCTTGGGAT CCCGTGGGCT 180	CTIGICCAIC TCAAGCTCTI CAGCTGGCTG GTTGTCTGTC TCAACTGCAI 240	TCFGGTCTGT TCCFGIATCA GGGTCTTCTG CAAGCTCTGG AAGGTATCTC TCCGGAACTG 300	TGGACACTCT GCAGCTAGAT GTAGCTGACT TTGCTACTAC TATTTGGCAA 360	CAGATGGAAG AGCTCGGTAT GGCACCAGCT CTGCAACCGA CTCAAGGTGC TATGCCGGCA 420	CATTCCAGCG TCGTGCAGGA GGTGTACTGG TTGCTTCTCA TCTGCAATCT 480	TICCTGGANG TAICTIACCG TGITCIGCGI CAICTGGCIC AGCCGIAATA G 531	
atgactecat taggteetge ttettetetg eegeaaaget ttetgetgaa atgtetgaa	NATCCAGGG TGACGGTGCT GCACTG	PCCGGAAGA GCTGGTACTG CTGGG1	PIGICCAIC ICAAGCICII CAGCIC	CONSTANCE GGGTCTTCTG CAMGC	GGACACTCT GCAGCTAGAT GTAGC	GCTCGGIAT GGCACCAGCT CTGCA	ATTCCAGCG TCGTGCAGGA GGTGT!	ATCTTACCG TGTTCTGCGT CATCT	
ATGACTCCAT TO	CAGGITCGIA A	AAACTGTGCC A	CCGCTGTCTT C	TCTGGTCTGT T	GGTCCGACTC T	CAGATGGAAG A	TTCGCTTCTG C	TTCCTGGAAG T	

## (2) INFORMATION FOR SEQ ID NO:2:

- (1) SEQUENCE CHARACTERISTICS:
  (A) LENGTH: 175 anino acids
  (B) TYPE: anino acids
  (C) STRANDEDWESS: single
  (D) TOPOLOGY: linear

## (11) MOLECULE TYPE: protein

## (x1) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Cys Pro Ser Gin Ala Leu Gin Leu Ala Gly Cys Leu Ser Gin Leu His 65 Met Thr Pro Lou Gly Pro Ala Ser Ser Leu Pro Gln Ser Phe Leu Leu 1 5 Val Leu Leu Gly His Ser Leu Gly lie Pro Trp Ala Pro Leu Ser Ser 50 50 Lys Cys Leu Glu Glu Val Arg Lys Ile Glu Gly Asp Gly Ala Ala Leu  $20\ \ 26$ Gin Giu Lys Leu Cys Ala Thr Tyr Lys Leu Cys His Pro Glu Glu Leu 35

Ser Gly Leu Phe Leu Tyr Gln Gly Leu Leu Gln Ala Leu Glu Gly Ile 85 90 95

WO 96/11953

- 48 -

PCT/US95/01729

Phe Gln Arg Arg Ala Gly Gly Val Leu Val Ala Ser His Leu Gln Ser 145 Asp Phe Ala Thr Thr Ile Trp Gln Gln Met Glu Glu Leu Gly Met Ala 120 Pro Als Leu Gln Pro Thr Gln Gly Als Met Pro Als Phe Als Ser Als 130 Ser Pro Glu Leu Gly Pro Thr Leu Asp Thr Leu Gln Leu Asp Val Ala 100 Phe Leu Glu Val Ser Tyr Arg Val Leu Arg His Leu Ala Gin Pro 165

PCT/US95/01729

- 49 -

WHAT IS CLAIMED IS:

1. A substantially homogenous preparation of N-terminally chemically modified G-CSF or analog thereof, optionally in a pharmaceutically acceptable diluent, carrier or adjuvant.

2 A preparation of claim 1 where said G-CSF is chemically modified with a chemical selected from

10 the group consisting of dextran, poly(n-vinyl pyurrolidone), polyethylene glycols, propropylene glycol homopolymers, prolypropylene oxide/ethylene oxide copolymers, polyoxyethylated polyols and polyvinyl alcohols.

15

3. A preparation of claim 2 where said G-CSF or analog thereof is chemically modified with polyethylene glycol.

20 4. A preparation of claim 3 said polyethylene glycol has a molecular weight of between about 2 kDa and 100 kDa. 5. A preparation of claim 4 wherein said 25 polyethylene glycol has a molecular weight of between about 6 kDa and 25 kDa.

6. A preparation of claim 1 wherein said preparation is comprised of at least 90% N-terminally monopegylated G-CSF or analog thereof and at most 10% unpegylated G-CSF or analog thereof.

7. A preparation of claim 6 wherein said preparation is comprised of at least 95% N-terminally

WO 96/11953

PCT/US95/01729

- 20 -

monopegylated G-CSF or analog thereof and at most 5% unpegylated G-CSF or analog thereof.

 $\theta_{\star}$  . A preparation of claim 1 whererein said G-CSF has the sequence identified in SEQ. ID No. 1.

9. A substantially homogenous preparation of N-terminally monopegylated G-CSF, optionally in a

pharmaceutically acceptable diluent, carrier or

10 adjuvant, wherein: (a) said G-CSF has the amino acid sequence identified in SEQ. ID No. 1; (b) said G-CSF is monopegylated with a polyethylene glycol moiety having a molecular weight of about 12 kDa.

15 10. A pharmaceutical composition comprising: (a) a substantially homogenous preparation of monopegylated G-CSF, said monopegylated G-CSF consisting of a polyethylene glycol molety having a molecular weight of about 12 kDa connected to a G-CSF molety

20 solely at the N-terminus thereof via an amine linkage;
(b) fewer than 5% non-pegylated G-CSF molecules; and (c) a pharmaceutially acceptable diluent, adjuvant or carrier.

11. A method of treating a hematopoletic disorder comprising administering a therapeutically effective dose of a preparation of any of claims 1-10.

12. A method for attaching a water soluble 30 polymer to a protein or analog thereof, wherein said water soluble polymer has a single reactive aldehyde group, said method comprising:

(a) reacting a protein moiety with a water soluble polymer moiety under reducing alkylation conditions, at a pH sufficiently acidic to selectively

activate the  $\alpha$ -amino group at the amino terminus of said protein molety so that said water soluble polymer selectively attaches to said  $\alpha$ -amino group; and

(b) obtaining the reaction product and

(c) optionally, separating the reaction products from unreacted moleties. 13. A method of claim 12 wherein said polymer is pharmaceutically acceptable.

2

14. A method of claim 12 wherein said water soluble polymer is selected from the group consisting of dextran, poly(n-vinyl pyurrolidone), polyethylene glycols, propropylene glycol homopolymers,

15 prolypropylene oxide/ethylene oxide co-polymers, polyoxyethylated polyols and polyvinyl alcohols. 15. A method of claim 14 wherein said polymer is polyethylene glycol.

ឧ

16. A method of claim 12 wherein said reducing alkylation reaction involves the use of a reducing agent selected from sodium borohydride, sodium cyanoborohydride, dimethylamine borate, timethylamine borate and pyridine borate.

ม

17. A method for attaching a polyethylene glycol molecule to a G-CSF molecule, wherein said polyethylene glycol molecule has a single reactive aldehyde group, said method comprising:

ജ

(a) reacting said G-CSF with said polyethylene glycol molecule under reducing alkylation conditions, at a pH sufficiently acidic to selectively activate the  $\alpha$ -amino group at the amino terminus of said

33

WO 96/11953

PCT/US95/01729

- 52 -

(b) obtaining the pegylated G-CSF and

(c) optionally, separating the pegylated G-CSF from from non-pegylated G-CSF.

18. A method of claim 17 wherein said polyethylene glycol molecule has a molecular weight of about 6 kDa to about 25 kDa. 19. The pegylated G-CSF product produced by the process of claim 17.

2

20. Chemically modified consensus interferon comprised of a consensus interferon protein molety connected to at least one water soluble polymer molety.

15

21. A chemically modified consensus interferon of claim 20 wherein said consensus interferon moiety is selected from the group consistiong of IFN-conj, IFN-conj, and IFN-conj.

22. A chemically modified consensus interferon of claim 21 wherein said water soluble polymer is pharmaceutically acceptable.

ន

13. A chemically modified consensus interferon of claim 20 wherein said water soluble polymer is selected from the group consisting of dextran, poly(n-vinyl pyurrolidone), polyethylene glycols, propropylene glycol homopolymers, 30 prolypropylene oxide/ethylene oxide co-polymers,

24. A chemically modified consensus interferon according to claim 23 wherein said water soluble polymer moiety is polyethylene glycol.

35

polyoxyethylated polyols and polyvinyl alcohols.

- 53 -

25. A chemicaly modified consensus interferon according to claim 20 wherein said water soluble polymer molety is connected to said consensus interferon molety directly without an additional linkage group.

26. A chemically modified consensus interferon comprised of IFN-conj connected to at least one polyethylene glycol molety.

27. Pegylated consensus interferon.

2

28. A method for attaching a water soluble polymer to consensus interferon, wherein said water soluble polymer has a single reactive aldehyde group, said method comprising:

13

(a) reacting a consensus interferon moiety with a water soluble polymer moiety under reducing alkylation conditions, at a pH sufficiently acidic to

20 selectively activate the α-amino group at the amino terminus of said consensus interferon moiety; and (b) obtaining the reaction product and

(c) optionally, separating the reaction products from unreacted moleties.

23

 A method of claim 28 wherein said polymer is pharmaceutically acceptable.

30. A method of claim 28 wherein said water soluble polymer is selected from the group consisting of dextran, poly(n-vinyl pyurrolidone), polyethylene glycols, propropylene glycol homopolymers, prolypropylene oxide/ethylene oxide co-polymers, polyoxyethylated polyols and polyvinyl alcohols.

8

WO 96/11953

PCT/US95/01729

- 54 -

A method of claim 30 wherein said polymer
 polyethylene glycol.

32. A method of claim 28 wherein said reducing alkylation reaction involves the use of a reducing agent selected from sodium borohydride, sodium cyanoborohydride, dimethylamine borate and pyridine borate.

33. A method for attaching a polyethylene glycol molecule to a consensus interferon molecule, wherein said polyethylene glycol molecule has a single reactive aldehyde group, said method comprising:

(a) reacting said consensus interferon with said polyethylene glycol molecule under reducing alkylation conditions, at a pH sufficiently acidic to selectively activate the α-amino group at the amino terminus of said consensus interferon; and (b) obtaining the pegylated consensus

interferon and

ន

(c) optionally, separating the pegylated consensus interferon from from non-pegylated consensus interferon. 34. A method of claim 33 wherein said polyethylene glycol molecule has a molecular weight of about 2 kDa to about 100 kDa.

35. The pegylated consensus interferon product produced by the process of claim 33.

ଛ

36. A substantially homogenous preparation of monopegylated consensus interferon.

PCT/0895/01729

- 55 -

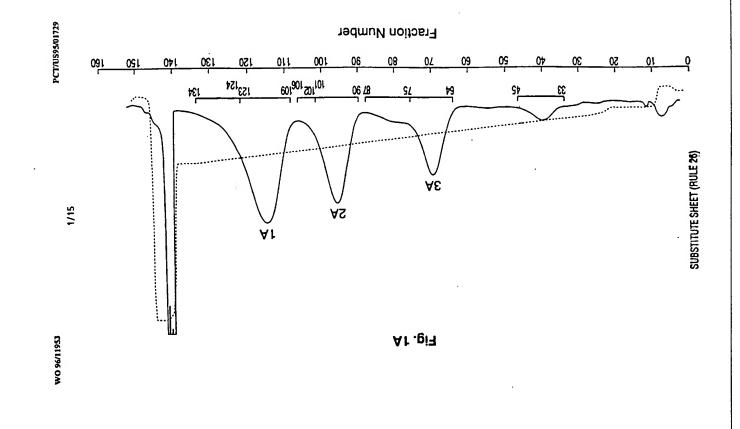
about 90% monopegylated consensus interferon and about 37. A preparation of claim 36 comprising 10% unpegylated consensus interferon.

consensus interferon consisting of a polyethylene glycol molety connected to a consensus interferon molety solely 38. A pharmaceutical composition comprising: monopegylated consensus interferon, said monopegylated at the N-terminus thereof via an amine linkage; (b) (a) a substantially homogenous preparation of 2 'n

molecules; and (c) a pharmaceutially acceptable diluent,

adjuvant or carrier.

fewer than 5% non-pegylated consensus interferon

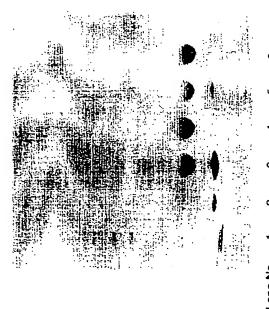


CS611/96 O.M

2/15

PCT/11\S95/01729

Fig. 15



Lane No.

ug loaded		3.0	fix 10.0	10.0	10.0	10.0	
Sample	MW Protein Standards	rHuG-CSF Std	SCM-PEG-GCSF Reaction With	Species 1 (N-Term)	Species 2 (Lys-35)	Species 3 (Lys-41)	
Lane No.	<del>-</del>	0	က	4	ß	9	

SUBSTITUTE SHEET (RULE 26)

WO 96/11953

PCT/US95/01729

3/15

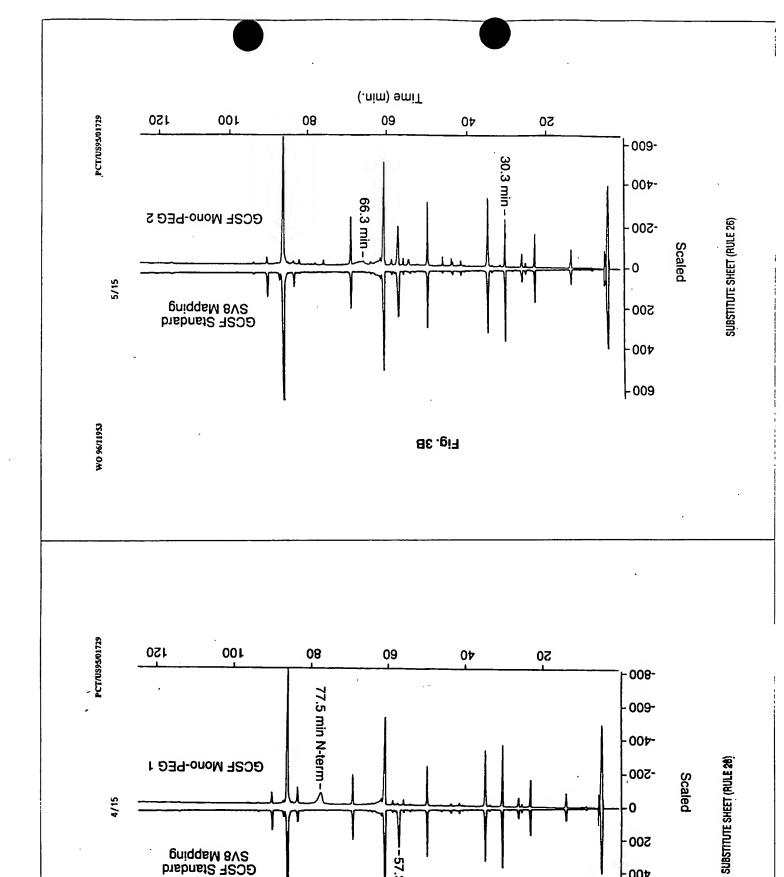
Fig. 2

SEC-HPLC Profiles of

(A) rHuG-CSF standard (B) SCM-PEG-GCSF Reaction Mixture (C) Species 1 (N-Term Derivative) (D) Species 2 (Lys-35 Derivative) (E) Species 3 (Lys-41 Derivative)

1.60 4. x 10<sup>1</sup> minutes 1.20 .0. 0.80 0.60 Φ 1.20silov <sup>1-</sup>01 x 9. 6. 0.40-0.20-8.

SUBSTITUTE SHEET (RULE 26)



-57.3 min N-term

AE.BIH

0

200

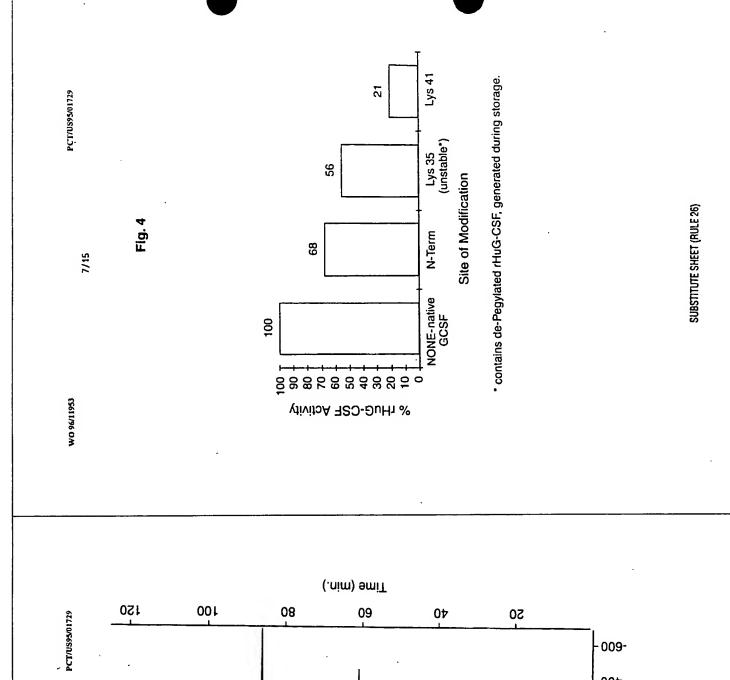
400

009

4/15

W0 96/11953

GCSF Standard SV8 Mapping



66.4 min-

GCSF Mono-PEG 3

GCSF Standard SV8 Mapping

6/15

WO 96/11953

SUBSTITUTE SHEET (RIJLE 26)

009-

007-

-200

**S00** 

400

-009

- 30.3 min

Fig. 3C

Scaled

ε

As .gi7

5

0 t o

9

10

91

50

52

30

98

07

WBC (10^3 cells/uL)

9

HuG-CSF

N-Terminal

eloideV -

гуз-35 (unpure)

14-8KJ -

8/15

WO 96/11953

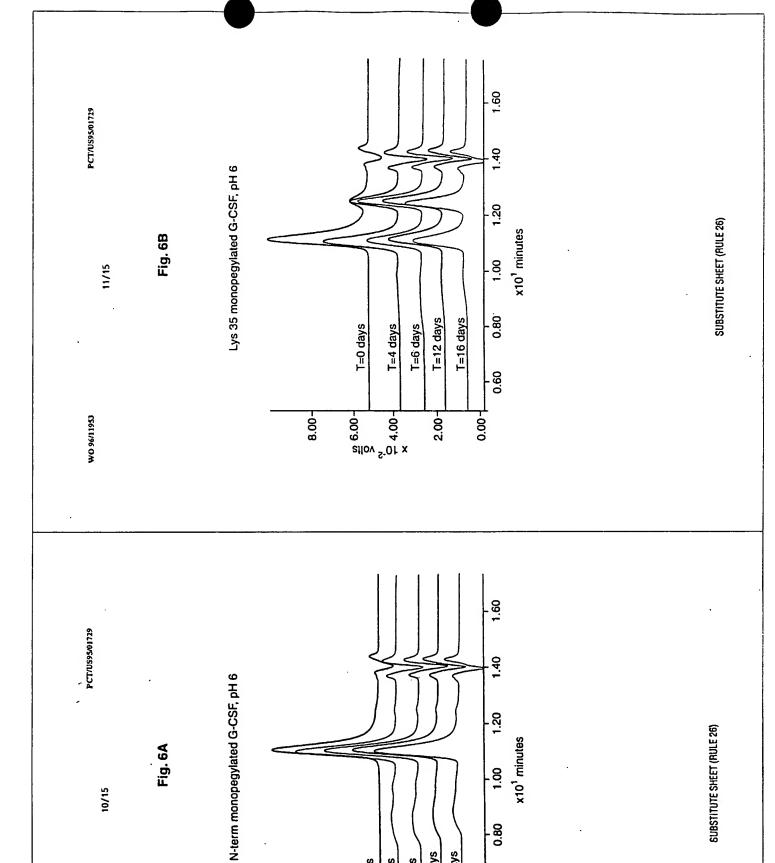


Fig. 6A

10/15

WO 96/11953

x10<sup>1</sup> minutes

.8.

0.80

0.60

T=16 days T=12 days T=6 days T=4 days T=0 days

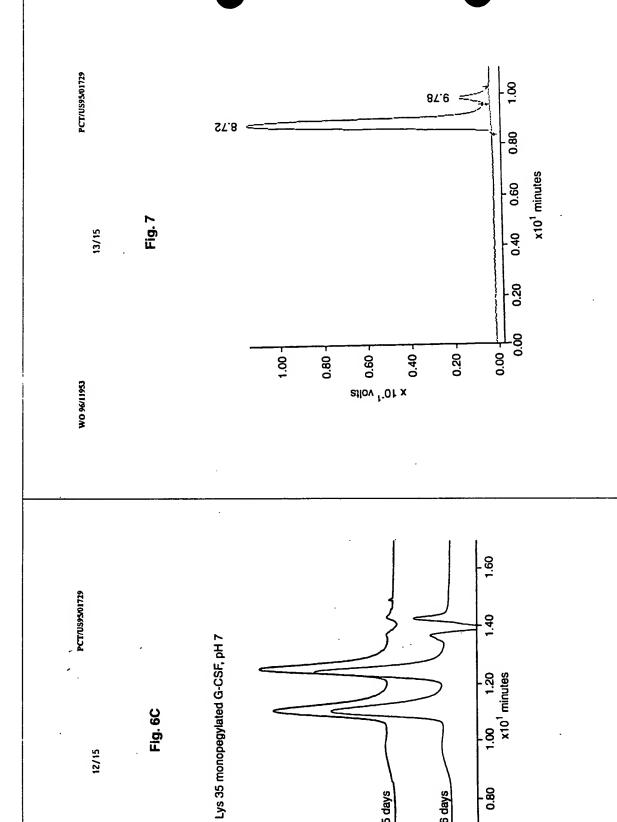
0.00

stlov <sup>s-</sup>01 x 8 6

2.00-

8.00-

6.00



12/15

WO 96/11953

SUBSTITUTE SHEET (RULE 26)

0.80

0.60

0.00

T=16 days

2.00-

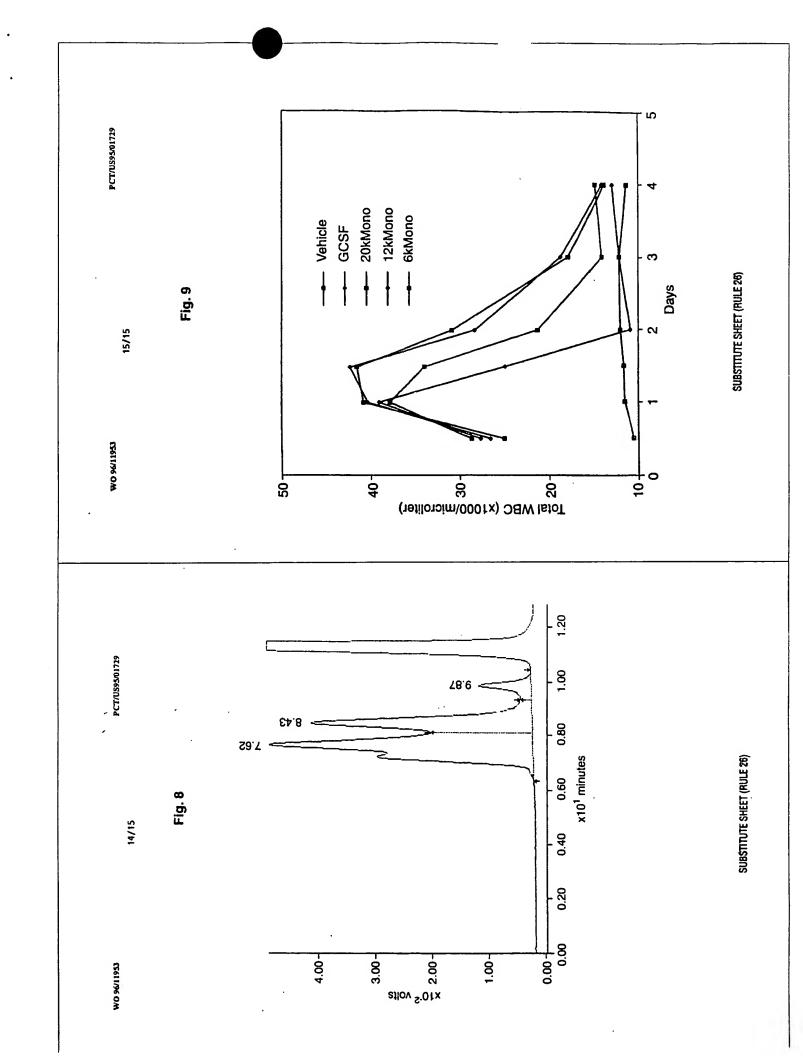
T=35 days

silov <sup>s.</sup>01 x

3.00-

4.00-

SUBSTITUTE SHEET (RULE 26)



	INTERNATIONAL SEARCH REPORT match Applicant No. PCT/US 95/01729	Application No. 95/01729
A. GASSI	1PC 6 COTK14/53 COTK14/555 COTK1/107 A61K47/48	
According to	Asserting to betradenal Peiers Classificates (IPC) or to both havioral damifestion and IPC  TOP IN 40 & THPD	
IPC 6	communican searched (dambication system followed by dambication symbols)	
Documental	estudios sunctad other than communa documentation to the catest that such documents are included in the fields suncted	rdeed
Becrose d	Bacrone dan ban coantied durug the merpatonal search (same of data base and, where practed, warrh turns used)	
1	C PANIABRY CHAIDED TO BE BEIRVANT	
Campony.	Objetion of document, with indication, where appropriate, of the referent parenges	Relevant to claim No.
>	EP.A.O 098 110 (NIMON CHEMICAL RESEARCH KABUSHIKI KAISHA) 11 January 1984 arample 3; page 4, linel3 to page 5, line 13 a	31-38
>	WO.A.90 04606 (ROYAL FREE HOSPITAL SCHOOL OF MEDICINE) 3 May 1990	3-11, 15-19, 31-38
>	TICS INSTITUT	3-11, 15-19
	/-	·
E	Perfor documents are bland as the constauration of box C. X Peans (soully members are larted as easter.	12,000
Special	* Special categores of cred documents:  Therefore categores of cred documents:  Transfer date and one to conduct with the application but or provity date and one to conduct with the application but	nescent filling date the application but

\*X\* document of particular internance; the desirad inventions created be considered about or created the considered in invention is inventive as inventive such whom the document is faster allows "Y\* document of period are developed. The classical invention cannot be considered to service and inventive state of the documents is considered to service and inventive state of the documents is combined with one or more other took other took or more other took or took o or promy day and not no conflict with the application by old the understand the principle or theory underlying the section 'O' document referrag to an oral dedonur, use, exhibition or abort means "A" document defining the general state of the art which is not considered to be of particular reformed:

"S" exists document but published on or abor the international faing date. "). document which may throw ducks on priority delai(i) or which is other to existing the publications date of medical custom or other special reason (as specified)

"P" document published prior to the international filling date but later than the proofty date claimed

Date of the named completion of the talk

08 11.95 HERMANN R. Name and marking orderess of the DA.
Bropages paras (Office of the DA.
H. - 2250 HV Bloods Tr. 31 641 que el.
Fac (- 31-32) 90 50008, Tr. 31 641 que el.
Fac (- 31-32) 90 50008. 28 June 1995

ann 1 ne 2

mesons Applicatos No INTERNATIONAL SEARCH REPORT

Relevant to claim No 3-11, 15-19, 31-38 17,33 PCT/US 95/01729 FOCUS ON GROWTH FACTORS, vol.3, pages 4 - 10 pages 4 - 10 FANCIS, G.E. 'Protein modification and fusion proteins' cited in the application "whole disclosure." C(Contambin) DOCUMENTS CONSIDERED TO BE RELEVANT
CARgory \* Quanto of document, with malcaloo, where appropriate, of the relevant parameter BIOCONJUGATE CHEM.,

vol.5,
pages 133 - 140
CHANOW, S.M. ET AL. 'Modification of CD4
immunoadhesin with monomethoxypoly(ethyleneglycol) aldehyde via reductive
alkylation'
\* table 1; figure 2; discussion "

L. STRYER 'Biochemistry (2nd edition)', FREEMAN & CO. , SAN FRANCISCO \* page 80, table 4-1 \*

17,33

'Biochemica Katalog 1994, page 362', BOEHRINGER MANNHEIM , MANNHEIM (GER)

name 2 of 2

	SKINA HOMAL SE	INTERNATIONAL SEARCH KEPUKI	Ļ	ernational Application No
Ī	informed on peicot family recorbors	- Equi	PCT/US	PCT/US 95/01729
Patent document dud in search report	Publication	Patent	Patent family member(s)	Publication date
EP-A-0098110	11-01-84	-A-A- UP-C- UP-B- UP-A- US-A-	58225025 1784880 4063053 59059629 4609546	27-12-83 31-08-93 08-10-92 05-04-84 02-09-86
W0-A-9004606	03-05-90	EP-A- JP-T- US-A-	0439508 4501260 5349052	07-08-91 05-03-92 20-09-94
WO-A-8905824	29-06-89	US-A- AU-B- EP-A-	4904584 2911189 0355142	27-02-90 19-07-89 28-02-90

	INTERNATIONAL SEARCH REPORT	PCT/ US 95/ 01729
Box 1	Observations where certain claims were found unsearchable (Continuation of item 1 of first	tem I of flest sheet)
This inte	This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:	de 17(2)(a) for the following reasons:
	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:	nely:
	Claims Nos: bestute they relate to parts of the international application that do not comply with the prescribed requirement to an extent that no meaningful international search can be carried out, specifically;	r prescribed requirements to such
	Claims Nea.: because they are dependent claims and are not draked in accordance with the second and third sentences of Rule 6.4(s)	nd third sentences of Rule 6.4(a).
Bax	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)	( sheet)
This far	This International Searching Authority found multiple inventions in this international application, as follows: - see additional sheet ISA/210	n, na followi:
	As all required additional search fees were timely paid by the applicant, this international search report covers searchable claims.	al search report covers all
	As all marchable claims could be rearches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.	i Authority did not invice payment
<u> </u>	3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:	his international search report
×	4. X No required additional search free were timely paid by the applicant Consequently, this international search report is restricted to the tinvention first mentioned in the dakms; it is covered by claims Nos.: 3-10,15-19,31-38: 11 part1ally	international search report is
Ĭ	The additional search fres were accompanied by the applicant's No protest accompanied the payment of additional search fres	The additional search fees were accompanied by the applicant's procest. No protest accompanied the payment of additional search fees.

International Application No. PCT/US95/01729

FURTHER INFORMATION CONTINUED FROM PCTABA

- claims 3-10,15-19,31-38; 11 partially

Method for N-terminal PEGylation; monoPEGylated G-CSF or conINF, and claims relating to said compounds

- claims 1,2,12-14,28-30; 11 partially

Method for N-terminal modification (other than PEGylation); N-terminally modified G-CSF or conINF, and claims relating to said compounds

- claims 20-27; il partially

Unspecifically PEGylated conINF, and claims relating to said compound